

Dkt. No. 68219/27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Patrick Gane, Matthias Buri  
and Michael Kaessberger Confirmation No. 3751

Appl. No. : 10/532,475

I.A. Filing Date : November 7, 2003

For : COPOLYMER HAVING AT LEAST ONE ALKOXY - OR  
HYDROXY POLYALKYLENE GLYCOL GRAFTED FUNCTION,  
AND USE THEREOF

Customer No. : 1912

**COMMUNICATION IN RESPONSE TO FEBRUARY 7, 2006**  
**NOTIFICATION OF MISSING REQUIREMENTS**

Via Express Mail  
(EL 993158255 US)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

"Express Mail" mailing label no.	EL 993158255 US
Date of Deposit:	February 27, 2006
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Name:	Joycelyn Giles Fenty
Signature: <i>Joycelyn Giles Fenty</i>	

In response to the Notification of Missing Requirements Under 37 U.S.C. 371 dated February 7, 2006, enclosed are the following:

1. a copy of the February 7, 2006 Notification of Missing Requirements (2 pages, Exhibit 1);
2. a Fee Transmittal Sheet (1 page, Exhibit 2);
3. three Powers of Attorney executed by the inventors for the above-identified application (3 pages, Exhibit 3);
4. a Declaration for Utility or Design Patent Application executed by the inventors for the above-identified application (3 pages, Exhibit 4);
5. a check in the amount of \$130.00 to cover the surcharge fee; and
6. a return receipt postcard.

Applicants : Patrick Gane, Matthias Buri  
and Michael Kaessberger  
Appl. No. : 10/532,475  
I.A. Filing Date : November 7, 2003  
Page 2 of 2

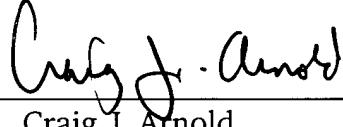
A response to the Notification of Missing Requirements is due April 7, 2006.  
Accordingly, this response is timely filed.

Authorization is hereby given to charge any deficiency or credit any overpayment, or charge any additional fees necessary to preserve the pendency of this subject application to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN LLP  
Attorneys for Applicants  
90 Park Avenue  
New York, NY 10016  
(212) 336-8000

Dated: New York, New York  
February 27, 2006

By:   
Craig J. Arnold  
Registration No. 34,287

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)  
130.00

### Complete if Known

Application Number	10/532,475	
Filing Date	I.A.	November 7, 2003
First Named Inventor	Patrick Gane	
Examiner Name		
Art Unit		
Attorney Docket No.	68219/27	

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 01-1785 Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues) Fee (\$) Small Entity 50 25

Each independent claim over 3 (including Reissues) Fee (\$) Small Entity 200 100

Multiple dependent claims Fee (\$) Small Entity 360 180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
- 20 or HP =	x	=		<u>Fee (\$)</u> <u>Small Entity</u> Fee (\$)

HP = highest number of total claims paid for, if greater than 20.

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
- 3 or HP =	x	=		<u>Fee (\$)</u> <u>Small Entity</u> Fee (\$)

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

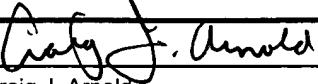
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/ 50 =	(round up to a whole number) x	=	

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Surcharge fee Fees Paid (\$) 130.00

### SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 34,287	Telephone (212) 336-8000
Name (Print/Type)	Craig J. Arnold		Date February 24, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

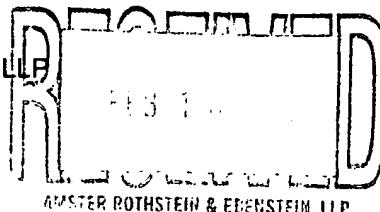
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,475	Patrick Gane	68219/27

INTERNATIONAL APPLICATION NO.

PCT/IB03/05082

1912  
 AMSTER, ROTHSTEIN & EBENSTEIN LLP  
 90 PARK AVENUE  
 NEW YORK, NY 10016



I.A. FILING DATE	PRIORITY DATE
11/07/2003	11/08/2002

CONFIRMATION NO. 3751  
 371 FORMALITIES LETTER



\*OC000000017915887\*

Date Mailed: 02/07/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/22/2005
- English Translation of the IA filed on 04/22/2005
- Copy of the International Search Report filed on 04/22/2005
- Preliminary Amendments filed on 04/22/2005
- Information Disclosure Statements filed on 04/22/2005
- U.S. Basic National Fees filed on 04/22/2005
- Priority Documents filed on 04/22/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

2:16:06  
 4/21/2006  
 4/7/06 - 8/7/06  
 Resp. to Missing Requirements Du  
 AP

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

**SHELBY J VIGIL**

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Telephone: (703) 308-9140 EXT 224

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,475	PCT/IB03/05082	68219/27

FORM PCT/DO/EO/905 (371 Formalities Notice)